

TEXAS ANIMAL HEALTH COMMISSION

FISCAL YEAR 2020 INTERNAL AUDIT ANNUAL REPORT

MONDAY RUFUS & CO., P.C.
Certified Public Accountants & Advisors
1508 Dessau Ridge Ln., Ste 405 Austin, Texas 78754

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Texas Animal Health Commission
Austin, Texas
Internal Audit Annual Report
Fiscal Year 2020

I. Compliance with Texas Government Code, Section 2102.015: Posting the Internal Audit Plan, Internal Audit Annual Report, and Other Audit information on Internet Web site.

Within 30 days of approval, the Texas Animal Health Commission should post the following information on its Internet Web site:

- An approved fiscal year 2021 audit plan, as provided by Texas Government Code, Section 2102.008.
- A fiscal year 2020 internal audit annual report, as required by Texas Government Code, Section 2102.009.

II. Internal Audit Plan for Fiscal Year 2020

The Commission approved the following audits for fiscal year 2020:

- Cash Disbursement (Including follow-up: Comptroller's post payment Audit Recommendations, dated August 1, 2018) (**Report TAHC2020-001: Dated: June 12, 2020**).
- Stephenville Region Office (Stephenville, Texas) (**Report TAHC2020-002: Dated: July 23, 2020**).
- Follow-up on Prior Internal Audit Recommendations (FY 2018) – (**Report TAHC 2020-003 Dated October 23, 2020**)
- Open Record Requests - (In Progress)

III. Consulting Services and Non-audit Services Completed

The Internal Auditor did not perform any services which would be considered consulting engagements, as defined in the *Institute of Internal Auditors' International Standards for the Professional Practice of Internal Auditing*, and no non-audit services, as defined in *Government Auditing Standards, 2011 Revision*, Sections 3.33 – 3.58, or *Government Auditing Standards, 2018 Revision*, Sections 3.64 – 3.106, as applicable, during fiscal year 2020.

IV. External Quality Assurance Review (Peer Review)

The most recent External Quality Assurance Review received a rating of "PASS." A new peer review will be due in Fiscal Year 2021.

V. INTERNAL AUDIT PLAN FOR FISCAL YEAR 2021

	<u>Estimated Hours</u>
Sulphur Springs Region Office (Sulphur Springs, TX)	180
Program Records Management	165
Rockdale Region Office (Rockdale, TX)	180
Annual Internal Audit Report, Risk Assessment/Audit Plan & Meetings)	<u>60</u>
Total Hours	585

VI. External Audit Services Procured in Fiscal Year 2020

There were no External Audit Services for the fiscal year 2020 other than Internal Audit Services.

VII. Reporting Suspected Fraud and Abuse

In accordance with the requirements of Section 7.09, page IX-37, the General Appropriations Act (86th Legislature, and Texas Government Code, Section 321.022, the Texas Animal Health Commission has posted information on how to report suspected fraud, waste or abuse of state funds on their website

VIII. Report Distribution

As required by Government Code Section 2102.0091 copies of this report should be filed with the following:

Governor's Office of Budget and Planning

Attn: John Colyandro
Phone: (512) 463-1778
Budgetandpolicyreports@governor.state.tx.us

Legislative Budget Board

Attn: Christopher Mattsson
Phone: (512) 463-1200
Audit@lbb.state.tx.us

State Auditor's Office

Attn: Internal Audit Coordinator
Phone: (512) 936-9500
iacoordinator@sao.state.tx.us

Sunset Advisory Commission

Attn: Jennifer Jones
Phone: (512) 463-1300
sunset@sunset.state.tx.us